U.S. Patent and Trademark

U.S. DEPARTMENT OF COMMERCE

09/393,718

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Application Number** 

| FORM  (tobe used for all correspondence after initial filing) |                             |   | Filing Date First Named Inventor  |                           | September 10, 1999  Farzad Nazem   |                        |  |
|---|-----------------------------|---|---|---------------------------|--|------------------------|--|
|   |                             |   |   |                           |  |                        |  |
|   |                             |   | Examiner Name   |                           | Nguyen, Cindy  |                        |  |
|   |                             |   | Total Number of Pages in This Submission                                    |                           |  | Attorney Docket Number |  |
|   |                             | ENCLO   | SURES   | (Check all that apply)    |  |                        |  |
| Fee Transmittal Form  |                             | Drawing(s)  |   |                           | After Allowance Communication to Group   |                        |  |
| Fee Attached  |                             | Licensing-related Papers  |   | d Papers                  | Appeal Communication to Board of Appeals and Interferences                     |                        |  |
| Amendment / Reply   |                             | Petition  |   |                           | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)              |                        |  |
| After Final   |                             | Petition to Convert to a Provisional Application                  |   |                           | Proprietary Information  |                        |  |
| Affidavits/declaration(s)                                     |                             | Power of Attorney, Revocation<br>Change of Correspondence Address |   |                           | Status Letter  |                        |  |
| ⊠ Extension of Time Request                                   |                             | Terminal Disclaimer   |   | imer                      | Other Enclosure(s) (please identify below):                                    |                        |  |
|   |                             | Request for Refund  |   | und                       | Return Postcard  |                        |  |
| Express Abandor   | Express Abandonment Request |   | mber of   | CD(s)                     |  |                        |  |
| ☐ Information Disclosure Statement                            |                             |   |   |                           |  |                        |  |
| Certified Copy of Priority Document(s)                        |                             | Rema  | The Commissioner is authorized to charge preddition with the count 20-1430. |                           |  |                        |  |
| Response to Miss  | Response to Missing Parts/  |   |   | J                         | MAR 0 6 2003   |                        |  |
|   | Incomplete Application      |   |   |                           | Technology Center 210  |                        |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53           |                             | IBCIIIOIOS) como -  |   |                           |  |                        |  |
|   | SIGNA                       | TURE OF   | APPLIC  | ANT, ATTORNEY, O          | R AGENT  |                        |  |
| Firm  | Townsend and Tov            | Townsend and Townsend and Crew LLP                                |   |                           |  |                        |  |
| or<br>Individual  | Brian N. Young              | rian N. Young Reg. No. 48,602                                     |   |                           |  |                        |  |
| Signature   |                             |   |   |                           |  |                        |  |
| Date  | Date 3/27/03                |   |   |                           |  |                        |  |
|   | , c                         | ERTIFICAT   | TE OF T   | RANSMISSION/MAI           | LING   |                        |  |
|   | l in an envelope address    | sed to: Commis  | ssioner for   | Patents, Washington, D.C. | h the United States Postal Service with sufficient 20231 on this date: 2/27/03 |                        |  |
| Typed or printed  | Li.                         | sa 1  | Kai.  | ser                       |  |                        |  |
| Signature   | J                           | <u>sa 1</u>   | Ka  | che !                     | Date 2/27/03   |                        |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Patent and Tragark Office: U.S. DEPARTMENT OF COMMERCE Index the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

MAR 0 4 2003 FEE 7

THAT & TRADE

## FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| Complete if Known    |                    |               |  |  |  |  |
|----------------------|--------------------|---------------|--|--|--|--|
| Application Number   | 09/393,718         |               |  |  |  |  |
| Filing Date          | September 10, 1999 |               |  |  |  |  |
| First Named Inventor | Farzad Nazem       |               |  |  |  |  |
| Examiner Name        | Nguyen, Cindy      | RECEIVED      |  |  |  |  |
| Group Art Unit       | 2171               | 1 6 2003      |  |  |  |  |
| Atternay Decket No   | 017887-000310US    | MAR II O COOS |  |  |  |  |

| METHOD OF PAYMENT (check all that apply)   |  |             |              | FEE CA      | ALCULATION (conjugation) OGY CON   | terzio |
|--|--|-------------|--------------|-------------|--|--------|
| Check Credit Card MoneyOrder Other None  |  | ITIONAL     | l            |             | 100  |        |
| Deposit Account:   | Large  | Entity      | Small<br>Fee |             |  | Fee    |
| Deposit  | Fee<br>Code  | Fee<br>(\$) | Code         | Fee<br>(\$) | Fee Description  | Paid   |
| Account 20-1430<br>Number  |  | 130         | 2051         | 65          | Surcharge - late filing fee or oath  |        |
|  | 1052   | 50          | 2052         | 25          | Surcharge - late provisional filing fee or cover sheet.                    |        |
| Deposit Account Townsend and Townsend and Crew LLP   | 1053   | 130         | 1053         | 130         | Non-English specification  |        |
| Name   | 1812   | 2,520       | 1812         | 2,520       | For filing a request for reexamination                                     |        |
| The Commissioner is authorized to: (check all that apply)  | 1804   | 920*        | 1804         | 920*        | Requesting publication of SIR prior to                                     |        |
| Charge fee(s) indicated below Credit any overpayments  | 1805   | 1.840*      | 1805         | 1.840°      | Examiner action  |        |
| Charge any additional fee(s) during the pendency of this application   | 1005   | 1,040       | 1803         | 1,040       | Requesting publication of SIR after<br>Examiner action                     |        |
| Charge fee(s) indicated below, except for the filing fee   | 1251   | 110         | 2251         | 55          | Extension for reply within first month                                     | 110    |
| to the above-identified deposit account.   | 1252   | 410         | 2252         | 205         | Extension for reply within second  |        |
| FEE CALCULATION  |  |             |              |             | month  |        |
| 1. BASIC FILING FEE  | 1253   | 930         | 2253         | 465         | Extension for reply within third month                                     |        |
| Large Entity Small Entity  | 1254   | 1,450       | 2254         | 725         | Extension for reply within fourth month                                    |        |
| Fee Fee Fee Fee Description  | 1255   | 1,970       | 2255         | 985         | Extension for reply within fifth month                                     |        |
| Code (\$) Fee Paid   | 1401   | 320         | 2401         | 160         | Notice of Appeal   | 320    |
| 1001 750 2001 375 Utility filing fee   | 1402   | 320         | 2402         | 160         | Filing a brief in support of an appeal                                     |        |
| 1002 330 2002 165 Design filing fee  | 1403   | 280         | 2403         | 140         | Request for oral hearing   |        |
| 1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee                                      | 1451   | 1,510       | 1451         | 1,510       | Petition to institute a public use proceeding                              |        |
| 1005 160 2005 80 Provisional filing fee  | 1452   | 110         | 2452         | 55          | Petition to revive – unavoidable   |        |
|  | 1453   | 1,300       | 2453         | 650         | Petition to revive – unintentional   |        |
| SUBTOTAL (1) (\$)  | 1501   | 1,300       | 2501         | 650         | Utility issue fee (or reissue)   | ]      |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  | 1502   | 470         | 2502         | 235         | Design issue fee   |        |
| Fees from  | 1503   | 630         | 2503         | 315         | Plant issue fee  |        |
| Extra Claims below Fee Paid  | 1460   | 130         | 1460         | 130         | Petitions to the Commissioner  |        |
| Total Claims -** = X =   | 1807   | 50          | 1807         | 50          | Petitions related to provisional applications                              |        |
| Independent Claims = X =   | 1806   | 180         | 1806         | 180         | Submission of Information Disclosure Stmt                                  |        |
| Multiple Dependent   | 8021   | 40          | 8021         | 40          | Recording each patent assignment per property (times number of properties) |        |
| Large Entity Small Entity Fee Fee Fee  | 1809   | 750         | 2809         | 375         | Filing a submission after final rejection (37 CFR § 1.129(a))              |        |
| Code (\$) Code (\$)  | 1810   | 750         | 2810         | 375         | For each additional invention to be  |        |
| 1202 18 2202 9 Claims in excess of 20  |  |             |              |             | examined (37 CFR § 1.129(b))  Reguest for Continued Examination            |        |
| 1201 84 2201 42 Independent claims in excess of 3<br>1203 280 2203 140 Multiple dependent claim, if not paid | 1801   | 750         | 2801         | 375         | (RCE)  |        |
| 1204 84 2204 42 ** Reissue independent claims  | 1802   | 900         | 1802         | 900         | Request for expedited examination of a design application                  |        |
| over original patent  ** Reissue claims in excess of 20 and over original patent                             | Other fee (specify)                                    |             |              |             |  |        |
| SUBTOTAL (2) (\$)  | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)430 |             |              |             |  |        |
| **or number previously paid, if greater; For Reissues, see above   |  |             |              |             | L  |        |

| SUBMITTED BY      |                |                                   |        | Cc        | omplete (if applicable) |  |
|-------------------|----------------|-----------------------------------|--------|-----------|-------------------------|--|
| Name (Print/Type) | Brian N. Young | Registration No. (Attorney/Agent) | 48,602 | Telephone | 415-576-0200            |  |
| Signature         | 1/2/           |                                   |        | Date      | 3/27/03                 |  |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.